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Patent

Attorney's Docket No. 027260-509

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )

Ken MATSUOKA et al. )

Application No.: 10/054,960 )

Filed: January 25, 2002 )

For: TUNABLE DISPERSION )  
COMPENSATION DEVICE, OPTICAL )  
RECEIVER, AND OPTICAL FIBER )  
COMMUNICATION SYSTEM )

) Group Art Unit: 2874

) Examiner: Omar Rojas

) Confirmation No.: 8761

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TECHNICAL DIVISION  
AUG 7 2003  
2000

FIRST  
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c) the fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

A fee in the amount of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is enclosed.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: August 4, 2003

By: Penny L. Caudle  
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08/06/2003 WABBERI 00000021 10054960  
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INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- No additional fee for submission of an IDS is required.  
 The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.  
 A statement under 37 C.F.R. § 1.97(e) is also enclosed.  
 A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.  
 Charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.  
 A check in the amount of \$ 180.00 is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: August 4, 2003

By: Penny L. Caudle  
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SHEET 1 OF 1

Substitute for forms 1449A/PTO & 1449B/PTO

**FIRST INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**ATTORNEY'S Dkt No.**

**APPLICATION NO.**

**APPLICANT**

APPENDIX

**FILING DATE**

## **GROUP**

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

## NON-PATENT LITERATURE DOCUMENTS

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.